

Case Docket No. DB 01-8

6/IDS
L Nelson
7/8/02

JC971 U.S. PRO
09/954535
09/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

PETER ANTHONY FARRAR ET AL.

SERIAL NO:

FILED:

TITLE: APPARATUS FOR HOLDING
A COMPACT DISK

GROUP ART UNIT:

September 18, 2001

INFORMATION DISCLOSURE STATEMENT
UNDER SECTION 37 CFR 1.97

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

In compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, the documents identified on the accompanying Form PTO-1449 are submitted for the Examiner's consideration.

One of these documents, U.S. Patent No. 5,788,068, is referenced in Applicants' specification by the related PCT publication number, WO-A-96/14636. The other PCT application cited in Applicants' specification relates to U.S. Application Serial No. 09/180,073, which is currently pending.

CERTIFICATE OF DEPOSIT

I hereby certify that this paper is being deposited with the United States Patent and Trademark Office in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231, on

September 18, 2001
Date of Deposit


Signature

9/18/2001
Date of Signature

It is respectfully requested that the Examiner initial and return a copy of this form,
and indicate in the official file wrapper of this patent application that the documents have
been considered.

Applicants reserves the right to establish the patentability of the presently claimed
invention over any of the information provided herewith, and/or to prove that this information
may not be prior art, and/or to prove that this information may not be enabling for the
teachings purportedly offered.

This Information Disclosure Statement is being filed either within three (3) months
of the filing date OR before mailing of a first Office action on the merits. Accordingly, no
certification or fee is required.

Respectfully submitted,



Deanna L. Baxam
Attorney for the Applicant
Reg. No. 45,266

WESTVACO CORPORATION
Alfred H. Nissan Technical Center
11101 Johns Hopkins Road
Laurel MD 20723